



16-03

3679

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Serial No.: 09/898,735
Filed: 3 July 2001
For: "Ribbed Sealing Element
and Method of Use"
Docket No.: 115.015

Art Unit: 3679

Examiner: Hewitt, James M.

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Attention: Box Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

JAN 08 2003

GROUP 3600

Transmittal Letter with Amendment Conformed in Response to
Notice of Non-Compliant Amendment

Sir:

Enclosed are the following:

1. Certificate of Express Mail;
2. Amendment with Clean and Marked-up Version of Amended Specification and Claims (totaling 16 pages);
3. Copy of Notice of Non-Compliant Amendment;
8. Stamped postcard.

For any fee deficiencies and/or for additional payment, the Patent Office is authorized to charge Deposit Account No. 50-1822.

Respectfully submitted,

Date: 2-Jan-2003

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov



Paper No. *[Signature]*

Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment filed on 12-1- is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000). In order for the amendment to be compliant, applicant must supply the following omissions or corrections in response to this notice.

THE FOLLOWING ITEMS ARE REQUIRED FOR COMPLIANCE WITH RULE 1.121 (APPLICANT NEED NOT RE-SUBMIT THE ENTIRE AMENDMENT):

- 1. A clean version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(ii).
- 2. A marked-up version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(iii).
- 3. A clean version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(i).
- 4. A marked-up version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(ii).

Explanation: _____

(LIE: Please provide specific details for correction to assist the applicant. For example, "the clean version of claim 6 is missing.")

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/dcom/olia/pbg/sampleaf.pdf>. A condensed version of a sample amendment format is attached.

- PRELIMINARY AMENDMENT:** Unless applicant supplies the omission or correction to the preliminary amendment in compliance with revised 37 CFR 1.121 noted above within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
- AMENDMENT AFTER NON-FINAL ACTION:** Since the above-mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to supply the omission or correction noted above in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

for Daniel
Legal Instruments Examiner (LIE)

(Rev. 12/01)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thru-Tubing Technology, Inc. Art Unit: 3679
Serial No.: 09/898,735
Filed: 3 July 2001
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and Method of Use"
Docket No.: 115.015

Attention: Box Amendment
Assistant Commissioner for Patents
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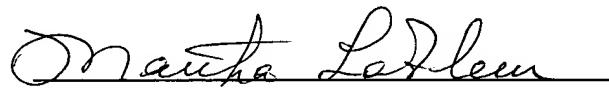
CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number No. EV 096379640 US

Date of Deposit 3 January 2003

I hereby certify that the attached Transmittal Letter, Amendment with Clean and Marked-up Versions of Amended Specification and Claims (totaling 16 pages), copy of Notice of Non-Compliant Amendment and a stamped postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,


Martha LaFleur